

Please type a plus sign (+) inside this box ☐

PTO/SB/05 (03-01)
Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

First Inventor

BAHRAYNI-MOGHADDAM

Title

THE DOOR MIRROR VIEWER

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 18]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 4]
5. Oath or Declaration [Total Pages 12]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: _____

Prior application information:

Examiner: _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or

☒

Correspondence address below

Name

KHOSROW BAHRAYNI-MOGHADDAM

Address

36 ARKLEY CRESCENT

City

WESTON

State

ONTARIO

Zip Code

M9R 3S3

Country

CANADA

Telephone

(416) 402-7930

Fax

(416) 247-7195

Name (Print/Type)

KHOSROW

Registration No. (Attorney/Agent)

Signature

Date

DEC. 27, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

PTO
U.S.
JCE215

10/042432

01/10/02

18 pages of Specification
The Specification is hereby incorporated by reference
into this application.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)

Complete if Known

Application Number

Filing Date

First Named Inventor

Examiner Name

Group Art Unit

Attorney Docket No.

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
NumberDeposit
Account
Name
☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status.
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☐ Check ☐ Credit card ☒ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	370.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$370.00)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim, if not paid	
109	80	209	40	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	370.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$370.00)

SUBMITTED BY

Name (Print/Type)

KHOSROW BAHRAINI - M

Registration No.
(Attorney/Agent)

Complete (if applicable)

Telephone

(416) 402 7930

Signature

Date

DEC. 27, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

December 18, 2001

Manager of Patent And Trademark, U.S.A

Re.: Misuse of my Invention in Canada

Dear Sir,

To mail my documents for Patent, I referred to the Post Offices in Canada. In two cases the officer in charge did not perform her legal duties, for special delivery did not scan the envelope and not sealed the envelope . Day after I realized that they should do so. As a result I referred to the Chief and notified him that the employee in charge was interested to see my documents and wrongly told me saying that she scanned and sealed my envelope after I left the Post Office.

For your information, Post Offices in Canada are run by the private sector. They do not have sense of responsibility as Government employees.

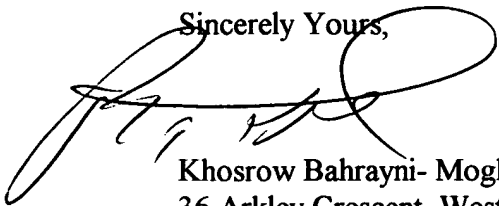
My first letter to Patent Office of the U.S. lost as I explained (photocopy no. 1) . On November 15, 2001 I mailed another envelope. To receive a Patent in Canada, I realized that another person has submitted an application for Patent similar to mine. As it was received at a later date, it was not accepted. As a result the Patent will be issued in my name. .

I am worried about my documents in the U.S. Please let me know if another person has submitted the same application for Patent, in order I can follow up the case through RCMP or possibly FBI.

In case you receive my second envelop and you issue a Patent to my name, I shall have no worry.

A new application together with money order is attached to this letter.

Sincerely Yours,



Khosrow Bahrayni- Moghadam
36 Arkley Crescent, Weston
Ontario, Canada M9R 3S3

C.C. RCMP

2001-12-18 14:00:00



BAHRAYNI-MOGHADDAM, KHOSROW
36 Arkley Crescent
WESTON Ontario
M9R 3S3

Date : 2001/11/13

FILING CERTIFICATE

Application No. : 2,360,512
Expected Laid-Open Date : 2003/04/30
Title of Invention : THE DOOR MIRROR VIEWER
Applicant(s) : BAHRAYNI-MOGHADDAM, KHOSROW
Inventor(s) : BAHRAYNI-MOGHADDAM, KHOSROW

Filing Date : 2001/10/30
Your Reference :

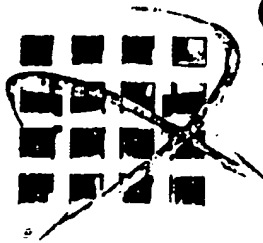
OCTOBER 15, 2001 REGISTERED IN
UNITED STATES

Special Notice

You are reminded that annual fees to maintain your application are needed for each one-year period between the 2nd and 20th anniversaries and must be paid on or before each anniversary. Failure to pay within the prescribed time limit will lead to abandonment of your application.



BACKGROUND OF
MY INVENTION



INTERNATIONAL TECHNOLOGY EXCHANGE CONFIDENTIAL DISCLOSURE AGREEMENT

INTERNATIONAL TECHNOLOGY EXCHANGE HEREBY AGREES TO MAINTAIN THE INVENTION DESCRIBED
CONFIDENTIAL AND NEVER SELL, USE, ASSIGN OR DISCLOSE IT WITHOUT THE EXPRESS WRITTEN
PERMISSION OF THE INVENTOR WHOSE NAME AND SIGNATURE APPEAR BELOW.



SEE INSTRUCTIONS ON PAGE 4

INVENTOR'S NAME: BAHRAYNI - MOGHEDDAMI, KHOSROW

INVENTOR'S SIGNATURE: _____ DATE: JAN. 2000

DATE OF BIRTH: 26-10-'40 OCCUPATION: PROFESSIONAL DRIVER
TRUCK DRIVER

INVENTION NAME: DOOR MIRROR VIEWER

INVENTOR'S ADDRESS: 235 DIXON Rd. P.O. Box 39008
ETOBICOKE, ONTARIO CANADA M9P 2V2

TELEPHONE: (416) 938-5259 (416) 402 7930 CELL
HOME WORK

CO-INVENTOR'S NAME: RAMIN BAHRAYNI
APPLICANT

CO-INVENTOR'S SIGNATURE: _____ DATE: JAN 2000

WITNESS SIGNATURE: PRIMA DONNA E. CHOI JAN 2000
DATE

WITNESS SIGNATURE: _____ DATE: JAN 2000

CONSULTANT: _____ CASE NUMBER: _____
IF KNOWN IF KNOWN

CONFIDENTIAL RESEARCH REPORT

**KHOSROW BAHRAYNI-MOGHADDAM
INVENTOR**

**INVENTION:
THE DOOR MIRROR VIEWER**

**INTERNATIONAL TECHNOLOGY EXCHANGE
2 HAIGH TERRACE
DUN LAOGHAIRE
DUBLIN IRELAND**

**TEL: 2-300-300
FAX: 2-300-200**

**THE INFORMATION CONTAINED HEREIN IS
CONFIDENTIAL AND CANNOT BE REPRODUCED
WITHOUT WRITTEN AUTHORISATION
FROM THE INVENTOR**

INVENTOR: KHOSROW BAHRAYNI-MOGHADDAM

INVENTION: THE DOOR MIRROR VIEWER

CASE NUMBER: 15005

We appreciate your confidence in International Technology Exchange and are pleased to have the opportunity to review your product idea. This report has been prepared by a team of industry professionals, marketing experts, product design specialists, and university talent. We are confident that you will find it to be both a thorough review of your product concept and to have been executed in a realistic and balanced fashion.

The statistical references in this report are based on the most recently available data. Much of it comes from government publications and databases as well as research reports created for the commercial market. In many instances, however, the process of assembling, analysing, and distributing this information entails a considerable amount of time and effort. Thus, many of the final figures for the prior year are not yet available. In some cases the most recently published figures are two or three years old. Overall, though, our goal in this report is to address trends in the marketplace that might affect the introduction of the product. Industry categories rarely exhibit major changes within one or two years. Thus, we are confident that the references cited in this report are sufficiently relevant for this purpose.

This report contains information that is both product-specific regarding the concept under consideration and process-specific relating to the overall issues relevant to the development, patenting, and marketing of any new product. This process is, in many respects, universal and exhibits few, if indeed any, variations from one product to another. As such, we have included generic information in this report that addresses this process along with those sections of the report that specifically address the issues relevant to the product under consideration.

We should also point out early on that the introduction of a new product to the marketplace is typically a long term venture with a considerable risk factor. This is equally true for large, sophisticated corporations, mid-sized manufacturing concerns, small owner-operated businesses, and independent inventors. For example, 3M, a company that thrives on innovation, generates thousands of new product concepts, few of which ever become success stories. Patent statistics further illustrate this as historically less than one percent of patents result in profitable returns. ITE cannot forecast whether an idea will be commercially successful as new products succeed or fail for a wide range of reasons, many of which are highly unpredictable.

1 Declared Value for Carriage Valeur déclarée pour le transport
1000

Yes ☒ Declared Value for Carriage Valeur déclarée pour le transport
Surcharge Applicable over \$100.00 CDN \$ Supplément applicable au-delà de 100 \$CAN
(See Box 8 below and reverse) (voir boîte 8 ci-dessous et verso) \$

2 SENDER (From) EXPÉDITEUR
Customer/Account No. N° de compte/client
Contact Name Personne-ressource
Agreement No. and Reference (if any) N° de convention et de référence (au besoin)
Agreement No. N° de convention Reference No. N° de référence

Name/Company Nom de l'entreprise
KHOSLA & SONS
Address Adresse
*26 ANKLEY CRENS
WESTON - ONT.*
CANADA
Postal Code Code postal Telephone Téléphone
M4K 3S3 (416) 402-7939

3 RECEIVER (To) DESTINATAIRE
Name/Company Nom de l'entreprise
U.S. DEPT. OF HEALTH & HUMAN SERVICES
Address Adresse
*CRISTAL 12023 ROOM 2002
WASHINGTON DC 20231*
Postal/Zip Code Code postal/zip Country Pays CODE
20231 USA
Contact Name Personne-ressource Telephone Téléphone

• Please type or print. • S.V.P. utiliser des caractères d'imprimerie.
• Shaded areas are mandatory. • Les réponses des cases ombragées sont obligatoires.

4 Shipment Details Détails de l'envoi
No. of Pieces N° d'articles
1
Total Weight Poids total
0.157 kg
Dimensions in cm (L x W x H x 4000) Dimensions en cm (L x l x H x 4000)
Length Longueur Width Largeur Height Hauteur
X X

Customer Service Service à la clientèle
1 888 550-6333
CPC/SCP: **NC 263 715 211 CA**
Purolator: **7 263 715 211**

6 Full Description of contents Description détaillée du contenu
Documents only

7 Dutiable shipments only Envois passibles de droits de douane
(Customs Requirement) (Exigence des Douanes)
Attach original and 2 copies of invoice Joindre la facture originale et deux copies d'une Déclaration
Declaration or Commercial Invoice relative à la facture ou d'une facture commerciale
Sender's Business No. N° d'entreprise de l'expéditeur Receiver's IRS/EIN or VAT No. N° IRS/EIN ou de TVA du destinataire

Declared Value for Customs (as per invoice, Declaration or Commercial Invoice) Valeur déclarée - Douanes (selon la Déclaration relative à la facture ou la facture commerciale)
Amount Montant Currency Unit monétaire
Type of export Genres d'exportation
☐ Permanent Permanent ☐ Repair or return Réparation ou retour ☐ Temporary Temporaire

8 Sender's Authorisation Autorisation de l'expéditeur
This sender agrees that the Conditions of Carriage (on reverse) apply and that the carrier's liability is limited in accordance with same, unless a higher value for carriage is declared by sender in box 1 above. Sender warrants no dangerous goods are being shipped.
L'expéditeur accepte les Modalités de transport (reprises au verso) et reconnaît que la responsabilité du transporteur se limite à ce qui y est défini, à moins que l'expéditeur n'ait déclaré au numéro 1 ci-dessus une valeur plus élevée pour le transport. L'expéditeur garantit que l'envoi ne contient pas de marchandises dangereuses.
Signature Date *2001 11 15*

Volumetric Weight Masse volumique
0.157 kg

5 Options

U.S. Saturday Delivery ☐ É.-U. service le samedi
U.S. P.O. Box ☐ É.-U. service case postale

Package Type Type d'emballage
PURULETTER® ☒
PUROPAK® ☐
Other Autre ☐

Charges Frais
Shipment Expédition \$ *26.95*
Options \$
Surcharge Supplément \$
Total \$ *26.95*

CPC Use Only À l'usage de la SCP

Payment by: Paiement par:
☐ Bill/Account Facture/Compte
☐ Cash/Postage Comptant/Affranchi
☐ Prepaid Prépayé ☐ Credit Card Carte de crédit

Accepted by: Accepté par:
Office No. N° du bureau *077658*
Signature *11/15/01*
Date *01 11 15* Time *11:20*

↳ Receipt of the second envelope on Nov. 15, 2001

EXPRESSPOST
Item Number N° de l'article **63 001 316 219**
Customer Reference Référence
Sender warrants that this item contains no dangerous goods, or anything of commercial value.
Guaranteed Delivery Livraison garantie
For guaranteed delivery details, visit our website or call 1-888-550-6333.
1 888 550-6333
or www.canadapost.ca
ou www.postescanada.ca
Customer Receipt Reçu du client

Receipt of the first lost envelope for my patient to the United States on the 15 of October 2001.

GL140DB
CCOTT23PRIORITY COURIER TRACK AND TRACE SYSTEM
ITEM TRACE HISTORY2001/11/20
PAGE 1 OF 1

ITEM ID : NC 263 715 211 CA

INTERNATIONAL ID : 7263715211

MAILING OFFICE:

BATCH

BATCH

DATA

MAILING OFFICE:

DATE

SEQ.#

CNTL #

DLVR DOC PROC AT: PUROLATOR INTERNATIONAL COURI 2001/11/16

SIGNED BY : M ASPAW

NUMBER OF ITEM EXCEPTIONS :

HISTORY	DATE	TIME	OFFICE NAME	SCANNED TO	DLVR DLVR MODE BTL
ACCEPT./PROCESSED	01/11/15	10:58	MARTINWAY PLAZ	077658	
UTILITY SCAN	01/11/15	18:02	PUROLATOR INTE	PROOF OF PICKUP	
DESTINATION SORT	01/11/15	18:36	M/T-PRIORITY C	L4W 001452	
PCL CROSS-REFER	01/11/15	23:55	PUROLATOR INTE		
UTILITY SCAN	01/11/15	23:55	PUROLATOR INTE	INT'L OUTBOUND	
SUCCESS DELIVERY	01/11/16		PUROLATOR INTE	A.M., DELIVERED	
DELIVERED	01/11/16	09:09	PUROLATOR INTE		

26018

PF2 -CREATE INQ
PF3 -EXCEPTIONPF4 -ITEM STATUS
PF13 -ARC INDEXPF7 -BACKWARD
PF8 -FORWARDPF11 -PREV MENU
PF12 -MAIN MENUPA1 -QUIT
PF1 -HELP

M ASPAW

Second envelope was
received and signed
by M ASPAW (above)
Patent office U.S.A

22

Second receipt of Money Order

DATE	NOV 15 2001
AMT (U.S.)	325.00
EXCHANGE	1.60500000 196.65
COMMISSION	521.65
OTHER	
TOTAL COST	521.65

PURCHASER: FOR YOUR PROTECTION, PLEASE IMMEDIATELY INSERT THE NAME OF THE PAYEE ON THE MONEY ORDER. DETACH AND RETAIN THIS RECEIPT. IN THE EVENT OF THE LOSS OF THE CORRESPONDING MONEY ORDER, THE ISSUING BRANCH WILL REFUND THE AMOUNT EXPRESSED ON THE MONEY ORDER ON SURRENDER OF THIS RECEIPT AND A SATISFACTORY GUARANTEE.

PAYEE (Optional)	

otiabank 5
267 ISLINGTON AVENUE N
TOBISCOKE ON M4W 3M7
INTERNATIONAL MONEY ORDER
UNITED STATES DOLLARS
CUSTOMER COPY

the first receipt of Money order I sent

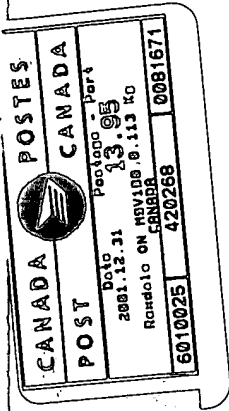
DATE	OCT 15 2001
AMT (U.S.)	325.00
EXCHANGE	1.57500000 186.25
COMMISSION	511.65
OTHER	
TOTAL COST	511.65

PURCHASER: FOR YOUR PROTECTION, PLEASE IMMEDIATELY INSERT THE NAME OF THE PAYEE ON THE MONEY ORDER. DETACH AND RETAIN THIS RECEIPT. IN THE EVENT OF THE LOSS OF THE CORRESPONDING MONEY ORDER, THE ISSUING BRANCH WILL REFUND THE AMOUNT EXPRESSED ON THE MONEY ORDER ON SURRENDER OF THIS RECEIPT AND A SATISFACTORY GUARANTEE.

PAYEE (Optional)	

otiabank 5
267 ISLINGTON AVENUE N
TOBISCOKE ON M4W 3M7
INTERNATIONAL MONEY ORDER
UNITED STATES DOLLARS
CUSTOMER COPY

36 ARKLEY CRESCENT
WESTON, ONTARIO
CANADA M9R 3S3



AIR MAIL

RECEIVED

JAN 10 2002

USPTO MAIL CENTER



AIR MAIL

ASSISTANT COMMISSIONER
FOR PATENTS

BOX PATENT APPLICATION
WASHINGTON, DC

20231

TEL. #

AIR MAIL

AIR MAIL

20/01/10

